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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 1761-0049

U.S. APPLICATION NO. #Eknows see 37 CFR 1.5)

| CON   | ICERNING A SUBMISSIC  | To be 1891/9573276                             |   |  |  |  |  |
|---|---|--|---|--|--|--|--|
|   | TIONAL APPLICATION NO.<br>2004/000391   | INTERNATIONAL FILING DATE 25 June 2004         | PRIORITY DATE CLAIMED 26 September 2003 |  |  |  |  |
| TITLE OF INVENTION  |   |  |   |  |  |  |  |
|   | ristaltic Pump<br>NT(S)FOR DO/EO/US   |  |   |  |  |  |  |
| Stephan Michels, Rene Fassler, Corine Scharer and Daniel Saxer  |   |  |   |  |  |  |  |
| Applicant nerewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |   |  |  |  |  |
| 1. 🗓  | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |  |   |  |  |  |  |
| 2. LJ   | This is a SECOND or SUBSEQUENT s  | ubmission of items concerning a submission     | n under 35 U.S.C. 371.                  |  |  |  |  |
|   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |   |  |  |  |  |
|   | The US has been elected (Article 31).   |  |   |  |  |  |  |
| 5. <b>X</b>   | A copy of the International Application   | า as filed (35 U.S.C. 371(c)(2))               |   |  |  |  |  |
| ·   | a. X is attached hereto (required   | only if not communicated by the Internation    | al Bureau).                             |  |  |  |  |
|   | b. has been communicated by the International Bureau.   |  |   |  |  |  |  |
| <b>R</b> g−   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |   |  |  |  |  |
| 6. X  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |   |  |  |  |  |
|   | a. A is attached hereto.  |  |   |  |  |  |  |
|   | b. Las been previously submit   | tted under 35 U.S.C. 154(d)(4).                |   |  |  |  |  |
| 7.  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |   |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).   |  |   |  |  |  |  |
|   | b.  have been communicated by the International Bureau.   |  |   |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |  |   |  |  |  |  |
|   | d. have not been made and will not be made.   |  |   |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |   |  |  |  |  |
| 9. 🔛  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |   |  |  |  |  |
| 10  | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).  | e annexes of the International Preliminary E   | xamination Report under PCT             |  |  |  |  |
|   | 11 to 20 below concern document(s   | ) or information included:                     |   |  |  |  |  |
| 11.   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                    |   |  |  |  |  |
| 12. 🔲   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |   |  |  |  |  |
| 13.   | A preliminary amendment.  |  |   |  |  |  |  |
| 14.   | An Application Data Sheet under 37 CFR 1.76.  |  |   |  |  |  |  |
| 15.   | A substitute specification.   |  |   |  |  |  |  |
| 16.   | A power of attorney and/or change of address letter.  |  |   |  |  |  |  |
| 17.   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |  |   |  |  |  |  |
| 18.   | A second copy of the published Intern   | national Application under 35 U.S.C. 154(d)(   | 4).                                     |  |  |  |  |
| 19.   | A second copy of the English languag  | e translation of the international application | under 35 U.S.C. 154(d)(4).              |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

IAPPRECIPOTIVE 23 MAR 2006
PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Undat the Pape Fork Reduction Act 0 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  |   | INTERNATIONAL APPLICATION NO. |              | ATTORNEY'S DOCKET NUMBER |                        |    |  |
|--|---|-------------------------------|--------------|--------------------------|------------------------|----|--|
| PCT/CH2004/000391  |   | 25 June 2004                  |              | 1761-0049                |                        |    |  |
| 20. Other items or information:  (x) Two (2) Sheets Formal Drawings (x) CHeck for \$900.00 (x) Return Postcard   |   |                               |              |                          |                        |    |  |
| The follow   | ing fees have b   | CALCULATIONS                  | PTO USE ONLY |                          |                        |    |  |
| 21. XX Basic national fee (37 CFR 1.492(a))  |   |                               |              |                          | \$ 300.00              |    |  |
| 22. 🔀 Examina  | ation fee (37 C   | 200 00                        |              |                          |                        |    |  |
| If the written opinion<br>by IPEA/US<br>All other situations.  | indicates all cl  | s 200.00                      |              |                          |                        |    |  |
| If the written opinion<br>IPEA/US ind<br>Search fee (37 CFR<br>International<br>International Search   | dicates all clain<br>(1.445(a)(2)) h<br>Il Searching Au<br>Il Report prepar<br>Inmunicated to | 400.00<br>\$                  |              |                          |                        |    |  |
| TOTAL OF 21, 22 and 23 =   |   |                               |              |                          | 900.00                 |    |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |                               |              |                          | 2.00.00                |    |  |
| Total Sheets E   | xtra Sheets   |                               |              | RATE                     |                        |    |  |
| - 100 =  | /50 =   | ) =                           |              | × \$250                  | \$                     | 1  |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |   |                               |              |                          | \$                     |    |  |
| CLAIMS   | NUMB  | ER FILED                      | NUMBER EXTRA | RATE                     | \$                     | -  |  |
| Total claims   | 15  | - 20 =                        | 0            | × \$ 50                  | \$ 0.00                |    |  |
| Independent claims   | 1   | - 3 =                         | 0            | x \$200                  | \$ 0.00                |    |  |
| MULTIPLE DEPEN   | DENT CLAIM(S  | 6) (if applicable)            |              | + \$360                  | \$                     |    |  |
| TOTAL OF ABOVE CALCULATIONS =  |   |                               |              |                          | \$                     |    |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |   |                               |              |                          |                        |    |  |
|  |   | \$                            |              |                          |                        |    |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |   |                               |              |                          | \$                     |    |  |
| TOTAL NATIONAL FEE =   |   |                               |              |                          | \$                     |    |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +  |   |                               |              |                          | \$                     |    |  |
| TOTAL FEES ENCLOSED =  |   |                               |              |                          | \$ 900,00              |    |  |
|  |   |                               |              |                          | Amount to be refunded: | \$ |  |
|  |   |                               |              |                          | Amount to be charged   | \$ |  |

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|   | IAPO R   | ec'dPC1/PTO 23 MAR 2006                           |  |  |  |  |
|---|--|---|--|--|--|--|
| a. X A check in the amount of \$ 900.00   | to cover the above fees is encl                    | losed.  |  |  |  |  |
| b. Please charge my Deposit Account No in A duplicate copy of this sheet is enclosed.   | the amount of \$                                   | to cover the above fees.                          |  |  |  |  |
| c. $\boxed{\textbf{X}}$ The Commissioner is hereby authorized to charge any adaccount No. $\boxed{13-0014}$ . A duplicate copy of this sheet  | dditional fees which may be red<br>et is enclosed. | quired, or credit any overpayment to Deposit      |  |  |  |  |
| Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |   |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.            |  |   |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:  Michael D. Beck CHase Tower 111 Monument Circle, Suite 3000 Indianapolis, IN 46204-5109  | NAME<br>32,72                                      | Michael D. Beck  NAME 32,722  REGISTRATION NUMBER |  |  |  |  |

## PATENT COOPERATION TREATY IN THE UNITED STATES RECEIVING OFFICE

Applicant:

Ismatec SA Laboratoriumstechni

Title:

International Application No.

Peristaltic Pump PCT/CH2004/000391

International Filing Date:

25 June 2004 (25.06.2004)

MMB Docket No.:

1761-0049

Rottmann Ref. No.

26280BS

#### REQUEST FOR SUBSTANTIVE EXAMINATION

Applicant hereby requests Substantive Examination from the United States

Patent and Trademark Office.

The undersigned is an authorized agent for the applicant.

Respectfully Submitted,

March 23, 2006

Michael D. Beck

Registration No. 32,722

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Indianapolis, Indiana 46204-5109

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# IAP9 Rec'd PCT/PTO 23 MAR 2005

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title: Peristaltic Pump

International Application no: PCT/CH2004/000391

International Filing Date: June 25, 2004

Docket No. 1761-0049

Rottmann Ref. No. 26280BS

### CERTIFICATE OF MAILING BY "EXPRESS MAIL"